

Apex India

Services for Alternative Investment Funds ("AIF's")



Why India?

- One of the fastest growing countries in the world
- Projected to be third largest economy by 2030
- Vibrant and growing investment destination for private equity and hedge funds
- Abundant pool of talent and business professionals
- GIFT City – India's fast growing international financial services center in Gandhinagar, Gujarat is a major driver for the investment industry

About Apex India

- 3700+ experienced professionals
- Seven locations, including Mumbai, Pune, Bangalore, and GIFT City
- We are the leading service provider for alternatives
- Service capabilities across fund administration, Special Purpose Vehicle ("SPV") administration, accounting, financial reporting, corporate services, and transfer agency
- Access to additional services and expertise from our global team such as ESG reporting for private equity funds, look-through reporting, accounting, FX services, depositary, global custody, banking, brokerage, corporate services, and US tax reporting
- Access to the latest market leading technologies such as Investran, Efront, Paxus, and Geneva
- Convenient time zone location

Giving you a choice: technologies



India AIF's

- The fastest growth in the investment industry in the past five years
- 50% compounded annual growth rate as of fiscal year 2022 (FY22)
- AIF is a type of privately pooled investment vehicle governed by Securities and Exchange Board of India ("SEBI") AIF regulations
- AIFs allow a wide range of investment options across social impact, venture capital, private equity, and hedge funds with pre-defined minimum investment amounts

India offices

● Existing ● Upcoming



We offer an efficient and flexible approach to both domestic and GIFT City AIFs, tailoring its support to meet the specific requirements of each individual client.

Strengths and capabilities

Transfer agency

- Investor onboarding and know your customer (“KYC”) \ Anti-Money Laundering (“AML”) checks
- Investor services, communication, and reporting
- Capital calls and distributions
- Subscription processing and reconciliation
- Dividend and payments processing and reconciliation
- Secure cloud-based investor portal
- Foreign Account Tax Compliance Act (“FATCA”) and common reporting standard
- Demat of AIF Units/International Securities Identification Number (ISIN) creation
- Stamp duty payments

Fund accounting

- Supports multiple funds/schemes set up
- Support for family investment funds accounting and reporting
- Multi-currency, multi-asset class, and multi-geography
- Stock/bank reconciliation
- Integrated general ledger
- Trade capture and settlements
- Corporate actions processing
- Capital processing - accounting
- Portfolio valuation and NAV processing - any frequency
- Income and expense management
- Detailed reporting suite

Corporate solutions

- Legal entity set up
- SPV administration
- Maintenance of statutory records, registers, and secretarial documentation
- Preparing paperwork for director meetings and/or general meetings
- Submission of notices and relevant regulatory filings
- Periodic and event-based compliances, including change in directorships, change in charter, capital infusion, and more

Compliance and regulatory reporting

- New fund registration
- Registration on Financial Intelligence Unit platform
- Legal Entity Identifier (LEI) and Global Intermediary Identification Number (GIIN) registration
- Creation of entity master and business user on Reserve Bank of India Foreign Investment Reporting and Management System portal (RBI FIRMS)
- Registration with SEBI, registered KYC Registration Agency (“KRA”)
- Registration with Central Registry of Securitisation Asset Reconstruction and Security Interest of India (CERSAI) for KYC update
- Filing of quarterly return related to Tax Deducted at Source
- Filing of Form 64 as per Income Tax Act
- Filing of periodic GST returns
- Filing of Form InVI in terms of Schedule VIII of FEMA NDI Rules, 2019
- KYC status verification from KRA database

NAV reporting pack

- Performance reporting
- Schedule of investments reports
- General ledger, trial balance, and cash flow reports
- Partners allocation/ shareholder allocation reports
- International Financial Services Centres Authority (IFSCA) compliance and regulatory reporting
- Financial reporting as per Indian Accounting Standards (AS), US Accounting Principles (GAAP), and International Financial Reporting Standards (IFRS) as applicable
- Multi-currency reporting
- Audit support
- Other reports may be tailored as required

Contacts



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Scale gives us perspective, gives you reach

\$3.4trn+

Assets serviced

13,000+

Employees

20+

Years in business

52

Countries

Why Apex Group

We are dedicated to driving positive change in financial services while supporting the growth and ambitions of asset managers, allocators, financial institutions, and family offices. For over two decades, we have continually disrupted the industry through our investment in innovation and talent. Today, we set the pace in fund and asset servicing and stand out for our unique single-source solution and unified cross asset-class platform which supports the entire value chain, harnesses leading innovative technology, and benefits from cross-jurisdictional expertise delivered by a long-standing management team and over 13,000 highly integrated professionals.

